Before 1500 Europeans were relatively marginal players in a centuries-old trading system that linked Africa, Asia, and Europe. The Indian Ocean was the locus of a vibrant cosmopolitan Afroeurasian trade world in which Arab, Persian, Turkish, Indian, African, Chinese, and European merchants and adventurers competed for trade in spices, silks, and other goods. Elites everywhere prized Chinese porcelains and silks, while wealthy members of the Celestial Kingdom, as China called itself, wanted gold, ivory, and rhinoceros horn from Africa and exotic goods and peacocks from India. African people wanted textiles from India and cowrie shells from the Maldives in the Indian Ocean. Europeans craved Asian silks and spices, but they had few desirable goods to offer their trading partners.

By 1550 the European search for better access to Asian trade goods had led to a new overseas empire in the Indian Ocean and the accidental discovery of the Western Hemisphere. With this discovery South and North America were drawn into an international network of trade centers and political empires, which Europeans came to dominate. The era of globalization had begun, creating new political systems and forms of economic exchange as well as cultural assimilation, conversion, and resistance.
Nezahualpilli

At the time of the arrival of Europeans, Nezahualpilli was ruler of the city-state of Texcoco, the second-most-important city in the Aztec Empire after Tenochtitlan.

CHAPTER PREVIEW

THE AFROEURASIAN TRADE WORLD
What was the Afroeurasian trade world prior to the era of European exploration?

THE EUROPEAN VOYAGES OF DISCOVERY
How and why did Europeans undertake ambitious voyages of expansion?

CONQUEST AND SETTLEMENT
What was the impact of Iberian conquest and settlement on the peoples and ecologies of the Americas?

THE ERA OF GLOBAL CONTACT
How was the era of global contact shaped by new commodities, commercial empires, and forced migrations?

CHANGING ATTITUDES AND BELIEFS
How did new encounters shape cultural attitudes and beliefs in Europe and the rest of the world?
The Afroeurasian Trade World

What was the Afroeurasian trade world prior to the era of European exploration?

The Afroeurasian trade world linked the products and people of Europe, Asia, and Africa in the fifteenth century. The West was a marginal player in this trading system. Nevertheless, wealthy Europeans were eager consumers of luxury goods from the East, which they received through Italian middlemen.

The Trade World of the Indian Ocean

The Indian Ocean was the center of the Afroeurasian trade world, serving as a crossroads for commercial and cultural exchanges between China, India, the Middle East, Africa, and Europe (Map 16.1). From the seventh through the fourteenth centuries, the volume of this trade steadily increased, declining only during the years of the Black Death.

Merchants congregated in a series of multicultural, cosmopolitan port cities strung around the Indian Ocean. Most of these cities had some form of autonomous self-government, and mutual self-interest largely limited violence and prevented attempts to monopolize trade. The most developed area of this commercial web was made up of the ports surrounding the South China Sea. In the fifteenth century the port of Malacca became a great commercial entrepôt (AHN-truh-poh), a trading center to which goods were shipped for storage while awaiting redistribution. To Malacca came porcelains, silks, and camphor (used in the manufacture of many medications) from China; pepper, cloves, nutmeg, and raw materials such as sandalwood from the Moluccas; sugar from the Philippines; and textiles, copper weapons, incense, dyes, and opium from India.

The Mongol emperors opened the doors of China to the West, encouraging Europeans like the Venetian trader and explorer Marco Polo to do business there. Marco Polo’s tales of his travels from 1271 to 1295 and his encounter with the Great Khan fueled Western fantasies about the Orient. After the Mongols fell to the Ming Dynasty in 1368, China entered a period of agricultural and commercial expansion, population growth, and urbanization (see “Ming China” in Chapter 21). Historians agree that China had the most advanced economy in the world until at least the beginning of the eighteenth century.

China also took the lead in exploration, sending Admiral Zheng He’s fleet as far west as Egypt. Each of his seven expeditions from 1405 to 1433 involved hundreds of ships and tens of thousands of men. The purpose of the voyages was primarily diplomatic, to enhance China’s prestige and seek tribute-paying alliances. The high expense of the voyages in a period of renewed Mongol encroachment led to the abandonment of the maritime expeditions after the deaths of Zheng He and the emperor. China’s turning away from external trade opened new opportunities for European states to expand their role in Asian trade.

Another center of Indian Ocean trade was India, the crucial link between the Persian Gulf and the Southeast Asian and East Asian trade networks. The subcontinent had ancient links with its neighbors to the northwest. Trade among ports bordering the Indian Ocean was revived in the Middle Ages by Arab merchants who circumnavigated India on their way to trade in the South China Sea. The inhabitants of India’s Coromandel coast traditionally looked to Southeast Asia, where they had ancient trading and cultural ties. Hinduism and Buddhism arrived in Southeast Asia from India during late antiquity, and a brisk trade between Southeast Asian and Coromandel port cities persisted from that time until the arrival of the Portuguese in the sixteenth century. India itself was an important contributor of goods to the world trading system. Most of the world’s pepper was grown in India, and Indian cotton and silk textiles were also highly prized.

Peoples and Cultures of the Indian Ocean

Indian Ocean trade connected peoples from the Malay Peninsula (the southern extremity of the Asian continent), India, China, and East Africa, among whom there was an enormous variety of languages, cultures, and religions. In spite of this diversity, certain socio-cultural similarities linked these peoples, especially in Southeast Asia.

In comparison to India, China, or even Europe after the Black Death, Southeast Asia was sparsely populated. People were concentrated in port cities and in areas of intense rice cultivation. Another difference between Southeast Asia and India, China, and Europe was the higher status of women — their primary role in planting and harvesting rice gave them authority and economic power. At marriage, which typically occurred around age twenty, the groom paid the bride (or sometimes her family) a sum of money called bride wealth, which remained under her control. This practice was in sharp contrast to the Chinese, Indian, and European dowry, which came under the husband’s control. Property was administered jointly, in contrast to the...
Chinese principle and Indian practice that wives had no say in the disposal of family property. All children, regardless of gender, inherited equally.

Respect for women carried over to the commercial sphere. Women participated in business as partners and independent entrepreneurs. When Portuguese and Dutch men settled in the region and married local women, their wives continued to play important roles in trade and commerce.

In contrast to most parts of the world other than Africa, Southeast Asian peoples had an accepting attitude toward premarital sexual activity and placed no premium on virginity at marriage. Divorce carried no social stigma and was easily attainable if a pair proved incompatible. Either the woman or the man could initiate a divorce.

### Trade with Africa and the Middle East

On the east coast of Africa, Swahili-speaking city-states engaged in the Indian Ocean trade, exchanging ivory, rhinoceros horn, tortoise shells, copra (dried coconut), and slaves for textiles, spices, cowrie shells, porcelain, and other goods. The most important cities were Mogadishu, Mombasa, and Kilwa, which had converted to Islam by the eleventh century.

West Africa also played an important role in world trade. In the fifteenth century most of the gold that reached Europe came from the Sudan region in West Africa. Transported across the Sahara by Arab and African traders on camels, the gold was sold in the ports of North Africa. Other trading routes led to the Egyptian cities of Alexandria and Cairo.
Inland nations that sat astride the north-south caravan routes grew wealthy from this trade. In the mid-thirteenth century the kingdom of Mali emerged as an important player on the overland trade route. In later centuries, however, the diversion of gold away from the trans-Saharan routes would weaken the inland states of Africa politically and economically.

Gold was one important object of trade; slaves were another. Long before the arrival of Europeans, Arab and African merchants took West African slaves to the Mediterranean to be sold in European, Egyptian, and Middle Eastern markets and also brought eastern Europeans to West Africa as slaves. In addition, Indian and Arab merchants traded slaves in the coastal regions of East Africa.

The Middle East served as an intermediary for trade between Europe, Africa, and Asia and was also an important supplier of goods for foreign exchange. Two great rival empires, the Persian Safavids and the Turkish Ottomans, dominated the region, competing for control over western trade routes to the East. By the mid-sixteenth century the Ottomans had established control over eastern Mediterranean sea routes to trading centers in Syria, Palestine, Egypt, and the rest of North Africa (see “The Expansion of the Ottoman Empire” in Chapter 17). Their power extended into Europe as far west as Vienna.
Europe constituted a minor outpost in the world trading system, for European craftsmen produced few products to rival those of Asia. However, Europeans desired luxury goods from the East, and in the late Middle Ages such trade was controlled by the Italian city-states of Venice and Genoa. Venice had opened the gateway to Asian trade in 1304, when it established formal relations with the sultan of Mamluk Egypt and started operations in Cairo. Because demand for European goods was low, Venetians funded their purchases through shipping and trade in firearms and slaves.

Venice’s ancient trading rival was Genoa. By 1270 Genoa dominated the northern route to Asia through the Black Sea. From then until the fourteenth century the Genoese expanded their trade routes as far as Persia and the Far East.

In the fifteenth century, with Venice claiming victory in the spice trade, the Genoese shifted focus from trade to finance and from the Black Sea to the western Mediterranean. When Spanish and Portuguese voyages began to explore the western Atlantic (see “The European Voyages of Discovery”), Genoese merchants, navigators, and financiers provided their skills and capital to the Iberian monarchs.

A major element of Italian trade was slavery. Merchants purchased slaves in the Balkans of southeastern Europe. After the loss of the Black Sea trade routes—and thus the source of slaves—to the Ottomans, the Genoese sought new supplies of slaves in the West, eventually seizing or buying and selling the Guanches (indigenous peoples from the Canary Islands), Muslim prisoners and Jewish refugees from Spain, and, by the early 1500s, both black and Berber Africans. With the growth of Spanish colonies in the New World, Genoese and Venetian merchants became important players in the Atlantic slave trade.

### The European Voyages of Discovery

**How and why did Europeans undertake ambitious voyages of expansion?**

As Europe recovered after the Black Death, new European players entered the scene with novel technology, eager to spread Christianity and to undo Italian and Ottoman domination of trade with the East. A century after the plague, Iberian explorers began overseas voyages that helped create the modern world, with immense consequences for their own continent and the rest of the planet.

### Causes of European Expansion

European expansion had multiple causes. The first was economic. By the middle of the fifteenth century Europe was experiencing a revival of population and economic activity after the lows of the Black Death. This revival created renewed demand for luxuries, especially spices, from the East. Introduced into western Europe by the Crusaders in the twelfth century, spices such as pepper, nutmeg, cinnamon, and cloves added flavor and variety to the monotonous European diet. They were also used in anointing oil and as incense for religious rituals, and as perfumes, medicines, and dyes in daily life. The fall of Constantinople and the subsequent Ottoman control of trade routes created obstacles to fulfilling demands for these precious and prestigious goods. Europeans eager for the profits of trade thus needed to find new sources of precious metal to exchange with the Ottomans or trade routes that bypassed the Ottomans.

Religious fervor and the crusading spirit were the second important catalyst for expansion. Just seven
months separated Isabella and Ferdinand’s conquest of the emirate of Granada, the last remaining Muslim state on the Iberian Peninsula, and Columbus’s departure across the Atlantic. Overseas exploration thus transferred the militaristic religious fervor of the reconquista (reconquest) to new non-Christian territories. As they conquered indigenous empires, Iberians brought the attitudes and administrative practices developed during the reconquista to the Americas.

A third motivation was the dynamic spirit of the Renaissance. Like other men of the Renaissance era, explorers sought to win glory for their exploits and demonstrated a genuine interest in learning more about unknown waters. The detailed journals kept by European voyagers attest to their fascination with the new peoples and places they visited.

The people who stayed at home had a powerful impact on the voyages of discovery. Merchants provided the capital for many early voyages and had a strong say in their course. To gain authorization and financial support for their expeditions, they sought official sponsorship from the Crown. Competition among European monarchs for the prestige and profit of overseas exploration thus constituted another crucial factor in encouraging the steady stream of expeditions that began in the late fifteenth century.

The small number of Europeans who could read provided a rapt audience for tales of fantastic places and unknown peoples. Cosmography, natural history, and geography aroused enormous interest among educated people in the fifteenth and sixteenth centuries. One of the most popular books of the time was the fourteenth-century text The Travels of Sir John Mandeville, which purported to be a firsthand account of the author’s travels in the Middle East, India, and China.

### Technology and the Rise of Exploration

The Iberian powers sought technological improvements in shipbuilding, weaponry, and navigation in order to undertake ambitious voyages of exploration and trade. Medieval European seagoing vessels consisted of open galleys propelled by oars, common in Mediterranean trade, or single-masted sailing ships. Though adequate for short journeys that hugged the shoreline, such vessels were incapable of long-distance journeys or high-volume trade. In the fifteenth century the Portuguese developed the **caravel**, a three-masted sailing ship. Its multiple sails and sternpost rudder made the caravel a more maneuverable vessel that required fewer crewmen to operate. It could carry more cargo than a galley, which meant it could sail farther without stopping for supplies and return with a larger cache of profitable goods. When fitted with cannon, it could dominate larger vessels and bombard port cities.

This period also saw great strides in cartography and navigational aids. Around 1410 a Latin translation reintroduced western Europeans to Ptolemy’s *Geography*. Written in the second century, the work synthesized the geographical knowledge of the classical world. It represented a major improvement over medieval cartography by depicting the world as round and introducing latitude and longitude markings, but it also contained significant errors. Unaware of the Americas, Ptolemy showed the world as much smaller than it is, so that Asia appeared not very far to the west of Europe.

Originating in China, the magnetic compass was brought to the West in the late Middle Ages. By using the compass to determine their direction and estimating their speed of travel over a set length of time, mariners could determine the course of a ship’s voyage. The astrolabe, an instrument invented by the ancient Greeks and perfected by Muslim navigators, was used to determine the altitude of the sun and other celestial bodies. It allowed mariners to plot their latitude, that is, their precise position north or south of the equator.

Much of the new technology that Europeans used on their voyages was borrowed from the East. Gunpowder, the compass, and the sternpost rudder were Chinese inventions. The triangular lateen sail, which allowed caravels to tack against the wind, was a product of the Indian Ocean trade world. Advances in cartography and navigation also drew on the rich tradition of Judeo-Arabic mathematical and astronomical learning in Iberia. In exploring new territories, European sailors thus called on techniques and knowledge developed over centuries in China, the Muslim world, and trading centers along the Indian Ocean.

### The Portuguese in Africa and Asia

For centuries Portugal was a small and poor nation on the margins of European life whose principal activities were fishing and subsistence farming. Yet Portugal had a long history of seafaring and navigation. Nature favored the Portuguese: winds blowing along their coast offered passage to Africa, its Atlantic islands, and, ultimately, Brazil. Once they had mastered the secret to sailing against the wind to return to Europe (by sailing farther west to catch winds from the southwest), they were poised to lead Atlantic exploration. The objectives

caravel A small, maneuverable, three-masted sailing ship developed by the Portuguese in the fifteenth century that gave the Portuguese a distinct advantage in exploration and trade.

Ptolemy’s Geography A second-century work translated into Latin around 1410 that synthesized the classical knowledge of geography and introduced latitude and longitude markings.
of Portuguese exploration included achieving military glory; converting Muslims; and finding gold, slaves, and an overseas route to Asian spice markets.

In the early phases of Portuguese exploration, Prince Henry (1394–1460), a younger son of the king, played a leading role. A nineteenth-century scholar dubbed Henry “the Navigator” because of his support for Portuguese voyages of discovery. Henry participated in Portugal’s conquest of Ceuta (sa-OO-tah), an Arab city in northern Morocco, in 1415, an event that marked the beginning of European overseas expansion. In the 1420s, under Henry’s direction, the Portuguese began to settle the Atlantic islands of Madeira (ca. 1420) and the Azores (1427). In 1443 they founded their first African commercial settlement at Arguin in North Africa.

By the time of Henry’s death in 1460, his support for exploration had resulted in thriving sugar plantations on the Atlantic islands, the first arrival of enslaved Africans in Portugal, and new access to African gold. The Portuguese next established fortified trading posts, called factories, on the gold-rich Guinea coast and penetrated into the African continent all the way to Timbuktu (Map 16.2). By 1500 Portugal controlled the flow of African gold to Europe. In contrast to the Spanish who conquered the Americas (see “Spanish Conquest of the Aztec and Inca Empires”), the Portuguese did not establish large settlements in West Africa or seek to control the political or cultural lives of those
with whom they traded. Instead they sought to profit by inserting themselves into existing trading systems. For the first century of their relations, African rulers were equal partners with the Portuguese, benefiting from their experienced armies and European vulnerability to tropical diseases.

In 1487 Bartholomew Diaz (ca. 1451–1500) rounded the Cape of Good Hope at the southern tip of Africa (see Map 16.2), but poor conditions forced him to turn back. A decade later Vasco da Gama (ca. 1469–1524) succeeded in rounding the Cape while commanding a fleet in search of a sea route to India. With the help of...
an Indian guide, da Gama reached the port of Calicut in India. He returned to Lisbon with spices and samples of Indian cloth, having proved the possibility of lucrative trade with the East via the Cape route. Thereafter, a Portuguese convoy set out for passage around the Cape every March.

Lisbon became the entrance port for Asian goods into Europe, but this was not accomplished without a fight. Muslim-controlled port city-states had long controlled the rich trade of the Indian Ocean, and they did not surrender it willingly. From 1500 to 1515 the Portuguese used a combination of bombardment and diplomatic treaties to establish trading factories at Goa, Malacca, Calicut, and Hormuz, thereby laying the foundation for a Portuguese trading empire. The acquisition of port cities and their trade routes brought riches to Portugal, but, as in Africa, the Portuguese had limited impact on the lives and religious faith of peoples beyond Portuguese coastal holdings.

Inspired by the Portuguese, the Spanish had also begun the quest for empire. Theirs was to be a second, entirely different mode of colonization, leading to the conquest of existing empires, large-scale settlement, and the forced assimilation of huge indigenous populations.

Spain’s Voyages to the Americas

Christopher Columbus was not the first to cross the Atlantic. Ninth-century Vikings established short-lived settlements in Newfoundland, and it is probable that others made the voyage, either on purpose or accidentally, carried by westward currents off the coast of Africa. In the late fifteenth century the achievements of Portugal’s decades of exploration made the moment

Ptolemy’s Geography  The recovery of Ptolemy’s Geography in the early fifteenth century gave Europeans new access to ancient geographical knowledge. This 1486 world map is a great advance over medieval maps but contains errors with significant consequences for future exploration. It shows a single continent watered by a single ocean, with land covering three-quarters of the world’s surface. Africa and Asia are joined with Europe, making the Indian Ocean a landlocked sea and rendering the circumnavigation of Africa impossible. Australia and the Americas are nonexistent, and the continent of Asia is stretched far to the east, greatly shortening the distance from Europe to Asia via the Atlantic. (Bibliothèque Nationale, Paris, France/Bridgeman Images)
Columbus Describes His First Voyage

On his return voyage to Spain in February 1493, Christopher Columbus composed a letter intended for wide circulation and had copies of it sent ahead to Isabella, Ferdinand, and others when his ship docked at Lisbon. Because the letter sums up Columbus’s understanding of his achievements, it is considered the most important document of his first voyage.

Since I know that you will be pleased at the great success with which the Lord has crowned my voyage, I write to inform you how in thirty-three days I crossed from the Canary Islands to the Indies, with the fleet which our most illustrious sovereigns gave me. I found very many islands with large populations and took possession of them all for their Highnesses; this I did by proclamation and unfurled the royal standard. No opposition was offered.

I named the first island that I found “San Salvador,” in honour of our Lord and Saviour who has granted me this miracle. . . . When I reached Cuba, I followed its north coast westwards, and found it so extensive that I thought this must be the mainland, the province of Cathay. † . . . From there I saw another island eighteen leagues eastwards which I then named “Hispaniola.” ‡ . . .

Hispaniola is a wonder. The mountains and hills, the plains and meadow lands are both fertile and beautiful. They are most suitable for planting crops and for raising cattle of all kinds, and there are good sites for building towns and villages. The harbours are incredibly fine and there are many great rivers with broad channels and the majority contain gold. ‡ The trees, fruits and plants are very different from those of Cuba. In Hispaniola there are many spices and large mines of gold and other metals. § . . .

The inhabitants of this island, and all the rest that I discovered or heard of, go naked, as their mothers bore them, men and women alike. A few of the women, however, cover a single place with a leaf of a plant or piece of cotton which they weave for the purpose. They have no iron or steel or arms and are not capable of using them, not because they are not strong and well built but because they are amazingly timid. All the weapons they have are canes cut at seeding time, at the end of which they fix a sharpened stick, but they have not the courage to make use of these, for very often when I have sent two or three men to a village to have conversation with them a great number of them have come out. But as soon as they saw my men all fled immediately, a father not even waiting for his son. And this is not because we have harmed any of them; on the contrary, wherever I have gone and been able to have conversation with them, I have given them some of the various things I had, a cloth and other articles, and received nothing in exchange. But they have still remained incurably timid. True, when they have been reassured and lost their fear, they are so ingenuous and so liberal with all their possessions that no one who has not seen them would believe it. If one asks for anything they have they never say no. On the contrary, they offer a share to anyone with demonstrations of heartfelt affection, and they are immediately content with any small thing, valuable or valueless, that is given them. I forbade the men to give them bits of broken crockery, fragments of glass or tags of laces, though if they could get them they fancied them the finest jewels in the world.

I hoped to win them to the love and service of their Highnesses and of the whole Spanish nation and to persuade them to collect and give us of the things which they possessed in abundance and which we needed. They have no religion and are not idolaters; but all believe that power and goodness dwell in the sky and they are firmly convinced that I have come from the sky with these ships and people. In this belief

they gave me a good reception everywhere, once they had overcome their fear; and this is not because they are stupid—far from it, they are men of great intelligence, for they navigate all those seas, and give a marvellously good account of everything—but because they have never before seen men dressed or ships like these. . . .

In all these islands the men are seemingly content with one woman, but their chief or king is allowed more than twenty. The women appear to work more than the men and I have not been able to find out if they have private property. As far as I could see whatever a man had was shared among all the rest and this particularly applies to food. . . . In another island, which I am told is larger than Hispaniola, the people have no hair. Here there is a vast quantity of gold, and from here and the other islands I bring Indians as evidence.

So all Christendom will be delighted that our Redeemer has given victory to our most illustrious King and Queen and their renowned kingdoms, in this great matter. They should hold great celebrations and render solemn thanks to the Holy Trinity with many solemn prayers, for the great triumph which they will have, by the conversion of so many peoples to our holy faith and for the temporal benefits which will follow, for not only Spain, but all Christendom will receive encouragement and profit.

This is a brief account of the facts.

Written in the caravel off the Canary Islands.**
15 February 1493

At your orders
THE ADMIRAL

QUESTIONS FOR ANALYSIS
1. How did Columbus explain the success of his voyage?
2. What was Columbus’s view of the Native Americans he met?
3. Evaluate Columbus’s statements that the Caribbean islands possessed gold, cotton, and spices.
4. Why did Columbus cling to the idea that he had reached Asia?


*Cathay is the old name for China. In the logbook and later in this letter Columbus accepts the natives’ story that Cuba is an island that they can circumnavigate in something more than twenty-one days, yet he insists here and during the second voyage that it is in fact part of the Asiatic mainland.
†Hispaniola is the second-largest island of the West Indies; Haiti occupies the western third of the island, the Dominican Republic the rest.
§This did not prove to be true.
‡These statements are also inaccurate.
**Actually, Columbus was off Santa Maria in the Azores.
locate the city. Although they found no large settlement, the sight of Taino people wearing gold ornaments on Hispaniola suggested that gold was available in the region. In January, confident that its source would soon be found, he headed back to Spain to report on his discovery.

On his second voyage, Columbus took control of the island of Hispaniola and enslaved its indigenous peoples. On this and subsequent voyages, he brought with him settlers for the new Spanish territories, along with agricultural seed and livestock. Columbus himself, however, had limited skills in governing. Revolt soon broke out against him and his brother on Hispaniola. A royal expedition sent to investigate returned the brothers to Spain in chains, and a royal governor assumed control of the colony.

**Spain “Discovers” the Pacific**

Columbus never realized the scope of his achievement: that he had found a vast continent unknown to Europeans, except for the fleeting Viking presence centuries earlier. The Florentine navigator Amerigo Vespucci (veh-SPOO-chee) (1454–1512) realized what Columbus had not. Writing about his discoveries on the coast of modern-day Venezuela, Vespucci stated: “Those new regions which we found and explored with the fleet . . . we may rightly call a New World.” This letter was the first document to describe America as a continent separate from Asia. In recognition of Amerigo’s bold claim, the continent was named for him.

To settle competing claims to the Atlantic discoveries, Spain and Portugal turned to Pope Alexander VI. The resulting **Treaty of Tordesillas** (tawr-duh-SEE-yuhhs) in 1494 gave Spain everything to the west of an imaginary line drawn down the Atlantic and Portugal everything to the east.

The search for profits determined the direction of Spanish exploration. Because its profits from Hispaniola and other Caribbean islands were insignificant compared to Portugal’s enormous riches from the Asian spice trade, Spain renewed the search for a western passage to Asia. In 1519 Charles I of Spain (who was also Holy Roman emperor Charles V) commissioned Ferdinand Magellan (1480–1521) to find a direct sea route to Asia. Magellan sailed southwest across the Atlantic to Brazil, and after a long search along the coast he located the strait off the southern tip of South America that now bears his name (see Map 16.2). After passing through the strait into the Pacific Ocean in 1520, his fleet sailed north up the west coast of South America and then headed west into the Pacific.

Terrible storms, disease, starvation, and violence devastated the expedition. Magellan himself was killed in a skirmish in the Malay Archipelago, and only one of the five ships that began the expedition made it back to Spain. This ship returned home in 1522 with only eighteen men aboard, having traveled from the east by way of the Indian Ocean, the Cape of Good Hope, and the Atlantic. The voyage—the first to circumnavigate the globe—had taken close to three years.

Despite the losses, this voyage revolutionized Europeans’ understanding of the world by demonstrating the vastness of the Pacific. The earth was clearly much larger than Ptolemy’s map had shown. Magellan’s expedition also forced Spain’s rulers to rethink their plans for overseas commerce and territorial expansion. The westward passage to the Indies was too long and dangerous for commercial purposes. Thus Spain soon abandoned the attempt to oust Portugal from the Eastern spice trade and concentrated on exploiting its New World territories.

**Early Exploration by Northern European Powers**

Spain’s northern European rivals also set sail across the Atlantic during the early days of exploration, searching for a northwest passage to the Indies. In 1497 John Cabot (ca. 1450–1499), a Genoese merchant living in London, landed on Newfoundland. The next year he returned and explored the New England coast. In 1576 and 1578 Martin Frobisher (ca. 1535–1594) made three voyages in and around the Canadian bay that now bears his name. Frobisher brought a quantity of ore back to England, but it proved to be worthless.

Early French exploration of the Atlantic was equally frustrating. Between 1534 and 1541 Frenchman Jacques Cartier (1491–1557) made several voyages and explored the St. Lawrence River of Canada, searching for a passage to the wealth of Asia. When this hope proved vain, the French turned to a new source of profit within Canada itself: trade in beavers and other furs.
As had the Portuguese in Asia, French traders bartered with local peoples whom they largely treated as autonomous and equal partners. French fishermen also competed with the Spanish and English for the schools of cod they found in the Atlantic waters around Newfoundland.

Conquest and Settlement

What was the impact of Iberian conquest and settlement on the peoples and ecologies of the Americas?

Before Columbus’s arrival, the Americas were inhabited by thousands of groups of indigenous peoples with distinct languages and cultures. These groups ranged from hunter-gatherer tribes organized into tribal confederations to settled agriculturalists to large-scale empires containing bustling cities and towns. The best estimate is that the peoples of the Americas numbered between 50 and 60 million in 1492. These numbers were decimated, and the lives of survivors radically altered, by the arrival of Europeans.

Spanish Conquest of the Aztec and Inca Empires

The first two decades after Columbus’s arrival in the New World saw Spanish settlement of Hispaniola, Cuba, Puerto Rico, and other Caribbean islands. Based on rumors of a wealthy mainland civilization, the Spanish governor in Cuba sponsored expeditions to the

The Aztec Capital of Tenochtitlan

This woodcut map was published in 1524 along with Cortés’s letters describing the conquest of the Aztecs. As it shows, Tenochtitlan occupied an island and was laid out in concentric circles. The administrative and religious buildings were at the heart of the city, which was surrounded by residential quarters. Cortés himself marveled at the city in his letters: “The city is as large as Seville or Cordoba. . . . There are bridges, very large, strong, and well constructed, so that, over many, ten horsemen can ride abreast. . . . The city has many squares where markets are held. . . . There is one square . . . where there are daily more than sixty thousand souls, buying and selling. In the service and manners of its people, their fashion of living was almost the same as in Spain, with just as much harmony and order.” (Newberry Library, Chicago/Bridgeman Images)
Yucatán coast of the Gulf of Mexico, including one in 1519 under the command of the conquistador (kahn-KEES-tuh-dawr) Hernán Cortés (1485–1547). Conquistador was Spanish for “conqueror,” a Spanish soldier-explorer who sought to conquer the New World for the Spanish crown. Alarmed by Cortés’s ambition, the governor withdrew his support, but Cortés quickly set sail before being removed from command. Cortés and his party landed on the Mexican coast on April 21, 1519. His camp soon received visits by delegations of Aztec leaders bearing gifts and news of their great emperor.

The Aztec Empire, an alliance between the Mexica people and their conquered allies, had risen rapidly in size and power over the fifteenth century. At the time of the Spanish arrival, the empire was ruled by Moctezuma II (r. 1502–1520), from his capital at Tenochtitlan (ray-nawch-TEET-lahn), now Mexico City. The Aztecs were a sophisticated society and culture, with advanced mathematics, astronomy, and engineering. As in European nations at the time, a hereditary nobility dominated the army, the priesthood, and the state bureaucracy and reaped the gains from the agricultural labor of the common people.

Within weeks of his arrival, Cortés acquired translators who provided vital information on the empire and its weaknesses. (See “Thinking Like a Historian: Who Was Doña Marina?” page 452.) Through his interpreters, Cortés learned of strong local resentment against the Aztec Empire. The Aztec state practiced brutal warfare against neighboring peoples to secure captives for religious sacrifices and laborers for agricultural and building projects. Once conquered, subject tribes paid continual tribute to the empire through their local chiefs. Realizing that he could exploit dissensions within the empire to his own advantage, Cortés forged an alliance with Tlaxcala (tlah-SKAH-lah), a subject kingdom of the Aztecs. In October a combined Spanish-Tlaxcalan force occupied the Aztec city of Cholula, the second largest in the empire, and massacred thousands of inhabitants. Strengthened by this victory, Cortés formed alliances with other native kingdoms. In November 1519, with a few hundred Spanish men and some six thousand indigenous warriors, he marched on Tenochtitlan.

Unlike other native leaders, Moctezuma refrained from attacking the Spaniards and instead welcomed Cortés and his men into Tenochtitlan. Moctezuma was apparently deeply impressed by Spanish victories and believed the Spanish were invincible. When Cortés took Moctezuma hostage, the emperor’s influence crumbled. During the ensuing attacks and counterattacks, Moctezuma was killed. The Spaniards and their allies escaped from the city suffering heavy losses. Cortés quickly began gathering forces and making new alliances against the Aztecs. In May 1521 he led a second assault on Tenochtitlan, leading an army of approximately one thousand Spanish and seventy-five thousand native warriors.

The Spanish victory in late summer 1521 was hard-won and was greatly aided by the effects of smallpox, which had devastated the besieged population of the city. After establishing a new capital in the ruins of Tenochtitlan, Cortés and other conquistadors began the systematic conquest of Mexico, a decades-long and brutal process.

More remarkable than the defeat of the Aztecs was the fall of the remote Inca Empire in Peru. Living in a settlement perched more than 9,800 feet above sea level, the Incas were isolated from the Mesoamerican civilization of the Aztecs. Like the Mexica, the Incas had created a polity that rivaled that of the Europeans in population and complexity and that had reached its height in the fifteenth century. The Incas’ strength lay largely in their bureaucratic efficiency. Ruled from the capital city of Cuzco, the empire was divided into four major regions, each region into provinces, and each province into districts. Officials at each level used the extensive network of roads to transmit information and orders. While the Aztecs used a system of glyphs for writing, the Incas had devised a complex system of colored and knotted cords, called khipus, for administrative bookkeeping.

By the time of the Spanish invasion, however, the Inca Empire had been weakened by a civil war over succession and an epidemic of disease, probably smallpox, spread through trade with groups in contact with Europeans. The Spanish conquistador Francisco Pizarro (ca. 1475–1541) landed on the northern coast

**conquistador** Spanish for “conqueror”; a Spanish soldier-explorer, such as Hernán Cortés or Francisco Pizarro, who sought to conquer the New World for the Spanish crown.

**Aztec Empire** An alliance between the Mexica people and their conquered allies, with its capital in Tenochtitlan (now Mexico City), that rose in size and power in the fifteenth century and possessed a sophisticated society and culture, with advanced mathematics, astronomy, and engineering.

**Inca Empire** The vast and sophisticated Peruvian empire centered at the capital city of Cuzco that was at its peak in the fifteenth century but weakened by civil war at the time of the Spanish arrival.
How was it possible for several hundred Spanish conquistadors to defeat powerful empires commanding large armies, vast wealth, and millions of inhabitants? Historians seeking answers to this question have emphasized a combination of factors: the military superiority provided by Spanish gunpowder, steel swords, and horses; divisions within the Aztec and Inca Empires, which produced many native allies and interpreters for the Spanish; and, most important, the devastating impact of contagious diseases among the indigenous population. Ironically, the well-organized, urban-based Aztec and Inca Empires were more vulnerable to wholesale takeover than were more decentralized and fragmented groups like the Maya in the Yucatán peninsula, whose independence was not wholly crushed until the end of the seventeenth century.

Portuguese Brazil

Unlike Mesoamerica or the Andes, the territory of Brazil contained no urban empires but instead had roughly 2.5 million nomadic and settled people divided into small tribes and many different language groups. In 1500 the Portuguese crown named Pedro Álvares Cabral commander of a fleet headed for the spice trade of the Indies. En route, the fleet sailed far to the west, claiming the coast where they accidentally landed for Portugal under the terms of the Treaty of Tordesillas. The Portuguese soon undertook a profitable trade with local people in brazilwood, a valued source of red dye, which inspired the name of the new colony.

In the 1520s Portuguese settlers brought sugar-cane production to Brazil. They initially used enslaved indigenous laborers on sugar plantations, but the rapid decline in the indigenous population soon led to the use of forcibly transported Africans. In Brazil the Portuguese thus created a new form of colonization in the Americas: large plantations worked by enslaved people. This model of slave-worked sugar plantations would spread throughout the Caribbean in the seventeenth century.

Colonial Administration

By the end of the sixteenth century the Spanish and Portuguese had successfully overcome most indigenous groups and expanded their territory throughout modern-day Mexico, the southwestern United States, and Central and South America. In Mesoamerica and
Who Was Doña Marina?

In April 1519 Doña Marina was among twenty women given to the Spanish as slaves. Fluent in Nahuatl and Yucatec Maya (spoken by a Spanish priest accompanying Cortés), she acted as an interpreter and diplomatic guide for the Spanish. She had a close relationship with Cortés and bore his son, Don Martín Cortés, in 1522. Although no writings by Doña Marina survive, she figures prominently in both Spanish and indigenous sources on the conquest.

Cortés’s letter to Charles I, 1522.

This letter to Charles I contains one of only two written references to Doña Marina found in Cortés’s correspondence with the king. He describes her as his “interpreter.”

During the three days I remained in that city they fed us worse each day, and the lords and principal persons of the city came only rarely to see and speak with me. And being somewhat disturbed by this, my interpreter, who is an Indian woman from Putunchan, which is the great river of which I spoke to Your Majesty in the first letter, was told by another Indian woman and a native of this city that very close by many of Mutezuma’s men were gathered, and that the people of the city had sent away their women and children and all their belongings, and were about to fall on us and kill us all; and that if she wished to escape she should go with her and she would shelter her. All this she told to Gerónimo de Aguilar, an interpreter whom I acquired in Yucatán, of whom I have also written to Your Highness; and he informed me.

Díaz’s account of the conquest of the Aztecs. Bernal Díaz del Castillo participated in the conquest of the Aztecs alongside Cortés. His historical account of the conquest, written much later in life, provides the lengthiest descriptions of Doña Marina.

Early the next morning many Caciques and chiefs of Tabasco and the neighbouring towns arrived and paid great respect to us all, and they brought a present of gold, … and some other things of little value. … This present, however, was worth nothing in comparison with the twenty women that were given us, among them one very excellent woman called Doña Marina, for so she was named when she became a Christian.

Díaz wrote that God had been very gracious to her in freeing her from the worship of idols and making her a Christian, and that she bore a son to her lord and master Cortés and in marrying...

... Cortés allotted one of the women to each of his captains and Doña Marina, as she was good looking and intelligent and without embarrassment, he gave to Alonzo Hernández Puertocarrero. When Puertocarrero went to Spain, Doña Marina lived with Cortés, and bore him a son named Don Martín Cortés.

... Her father and mother were chiefs and Caciques of a town called Paynala. ... Her father died while she was still a little child, and her mother married another Cacique, a young man, and bore him a son. It seems that the father and mother had a great affection for this son and it was agreed between them that he should succeed to their honours when their days were done. So that there should be no impediment to this, they gave the little girl, Doña Marina, to some Indians from Xicalango, and this they did by night so as to escape observation, and they then spread the report that she had died, and as it happened at this time that a child of one of their Indian slaves died they gave out that it was their daughter and the heiress who was dead.

The Indians of Xicalango gave the child to the people of Tabasco and the Tabasco people gave her to Cortés.

... As Doña Marina proved herself such an excellent woman and good interpreter throughout the wars in New Spain, Tlaxcala and Mexico (as I shall show later on) Cortés always took her with him, and during that expedition she was married to a gentleman named Juan Jaramillo at the town of Orizaba.

Doña Marina was a person of the greatest importance and was obeyed without question by the Indians throughout New Spain.

Marina ... said that God had been very gracious to her in freeing her from the worship of idols and making her a Christian, and letting her bear a son to her lord and master Cortés and in marrying...
PUTTING IT ALL TOGETHER

Using the sources above, along with what you have learned in class and in this chapter, imagine the events and experiences described in these sources from Doña Marina’s point of view. Reflect on the various aspects of Doña Marina described in the sources — betrayed daughter, slave, concubine, mother, wife, interpreter, and commander — and write an essay that uses her experience to explore the interaction among Spanish, Aztec, and other indigenous groups during the conquest period.

the Andes, the Spanish had taken over the cities and tribute systems of the Aztecs and the Incas, basing their control on the prior existence of well-established polities with organized tribute systems.

While early conquest and settlement were conducted largely by private initiatives, the Spanish and Portuguese governments soon assumed more direct control. In 1503 the Spanish granted the port of Seville a monopoly over all traffic to the New World and established the House of Trade to oversee economic matters. In 1523 Spain created the Royal and Supreme Council of the Indies, with authority over all colonial affairs subject to approval by the king. Spanish territories themselves were divided initially into two viceroyalties, or administrative divisions: New Spain, created in 1555; and Peru, created in 1542. In the eighteenth century two new viceroyalties, New Granada and La Plata, were created (see Map 16.2).

Within each territory, the viceroy, or imperial governor, exercised broad military and civil authority. The viceroy presided over the audiencia (ow-deh-ehn-ee-ah), a board of judges that served as his advisory council and the highest judicial body. As in Spain, settlement in the Americas was centered on cities and towns. In each city, the municipal council, or cabildo, exercised local authority. Women were denied participation in public life, a familiar pattern from both Spain and precolonial indigenous society.

Portugal adopted similar patterns of rule, with India House in Lisbon functioning much like the Spanish House of Trade and royal representatives overseeing Portuguese possessions in West Africa and Asia. To secure the vast expanse of Brazil, in the 1530s the Portuguese implemented a distinctive system of rule, called captaincies, hereditary grants of land given to nobles and loyal officials who bore the costs of settling and administering their territories. Over time, the Crown secured greater power over the captaincies, appointing royal governors to act as administrators. The captaincy of Bahia was the site of the capital, Salvador, home to the governor general and other royal officials.

The Catholic Church played an integral role in Iberian rule. The papacy allowed Portuguese and Spanish officials greater control over the church than was the case at home, allowing them to appoint clerics and collect tithes. This control allowed colonial powers to use the church as an instrument to indoctrinate indigenous people (see “Religious Conversion”).

### Indigenous Population Loss and Economic Exploitation

From the time of Christopher Columbus in Hispaniola, the Spanish made use of the encomienda system to profit from the peoples and territories they encountered in the Americas. This system was a legacy of the methods used to reward military leaders in the time of the reconquista. First in the Caribbean and then on the mainland, conquistadors granted their followers the right to forcibly employ groups of indigenous people as laborers and to demand tribute payments from them in exchange for providing food, shelter, and instruction in the Christian faith. This system was first used in Hispaniola to work gold fields and then in Mexico for agricultural labor and, when silver was discovered in the 1540s, for silver mining.

A 1512 Spanish law authorizing the use of the encomienda (en-ko-me-EN-duh) called for indigenous people to be treated fairly, but in practice the system led to terrible abuses. Spanish missionaries publicized these abuses, leading to debates in Spain about the nature and proper treatment of indigenous people (see “European Debates About Indigenous Peoples”). King Charles I responded to such complaints in 1542 with the New Laws, which set limits on the authority of encomienda holders.

The New Laws provoked a revolt among elites in Peru and were little enforced throughout Spanish territories. Nonetheless, the Crown gradually gained control over encomiendas in central areas of the empire and required indigenous people to pay tributes in cash, rather than in labor. To respond to a shortage of indigenous workers, royal officials established a new government-run system of forced labor, called repartimiento in New Spain and mita in Peru. Administrators assigned a certain percentage of the inhabitants of native communities to labor for a set period each year in public works, mining, agriculture, and other tasks.

Spanish systems for exploiting the labor of indigenous peoples were both a cause of and a response to the disastrous decline in their numbers that began soon after the arrival of Europeans. Some indigenous people died as a direct result of the violence of conquest and the disruption of agriculture and trade caused by warfare. The most important cause of death, however, was infectious disease. Having little or no resistance to dis-

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**viceroyalties** The name for the four administrative units of Spanish possessions in the Americas: New Spain, Peru, New Granada, and La Plata.

**captaincies** A system established by the Portuguese in Brazil in the 1530s, whereby hereditary grants of land were given to nobles and loyal officials who bore the costs of settling and administering their territories.

**encomienda system** A system whereby the Spanish crown granted the conquerors the right to forcibly employ groups of indigenous people as laborers and to demand tribute payments from them in exchange for providing food, shelter, and instruction in the Christian faith.
1450–1600

Conquest and Settlement

Eases brought from the Old World, the inhabitants of the New World fell victim to smallpox, typhus, influenza, and other illnesses.

The pattern of devastating disease and population loss established in the Spanish colonies was repeated everywhere Europeans settled. Overall, population declined by as much as 90 percent or more but with important regional variations. In general, densely populated urban centers were worse hit than rural areas, and tropical, low-lying regions suffered more than cooler, higher-altitude ones.

Colonial administrators responded to native population decline by forcibly combining dwindling indigenous communities into new settlements and imposing the rigors of the encomienda and the repartimiento. By the end of the sixteenth century the search for fresh sources of labor had given birth to the new tragedy of the Atlantic slave trade (see “Sugar and Early Transatlantic Slavery”).

Patterns of Settlement

The century after the discovery of silver in 1545 marked the high point of Iberian immigration to the Americas. Although the first migrants were men, soon whole families began to cross the Atlantic, and the European population began to increase through natural reproduction. By 1600 American-born Europeans, called Creoles, outnumbered immigrants.

Iberian settlement was predominantly urban in nature. Spaniards settled into the cities and towns of the former Aztec and Inca Empires as the native population dwindled through death and flight. They also established new cities in which settlers were quick to develop urban institutions familiar to them from home: city squares, churches, schools, and universities.

Despite the growing number of Europeans and the rapid decline of the native population, Europeans remained a small minority of the total inhabitants of...
the Americas. Iberians had sexual relationships with native women, leading to the growth of a substantial population of mixed Iberian and Indian descent known as mestizos (meh-STE-zohz). The large-scale arrival of enslaved Africans, starting in Brazil in the mid-sixteenth century, added new ethnic and racial dimensions to the population.

The Era of Global Contact

How was the era of global contact shaped by new commodities, commercial empires, and forced migrations?

The centuries-old Afroeurasian trade world was forever changed by the European voyages of discovery and their aftermath. For the first time, a truly global economy emerged in the sixteenth and seventeenth centuries, and it forged new links among far-flung peoples, cultures, and societies. The ancient civilizations of Europe, Africa, the Americas, and Asia confronted each other in new and rapidly evolving ways. Those confrontations often led to conquest, forced migration, and brutal exploitation, but they also contributed to cultural exchange and new patterns of life.

The Columbian Exchange

The travel of people and goods between the Old and New Worlds led to an exchange of animals, plants, and diseases, a complex process known as the Columbian exchange. As we have seen, the introduction of new diseases to the Americas had devastating consequences. But other results of the exchange brought benefits not only to the Europeans but also to native peoples.

Everywhere they settled, the Spanish and Portuguese brought and raised wheat. Grapes and olives brought over from Spain did well in parts of Peru and Chile. Perhaps the most significant introduction to the diet of Native Americans came via the meat and milk of the livestock that the early conquistadors brought with them, including cattle, sheep, and goats. The horse enabled both the Spanish conquerors and native populations to travel faster and farther and to transport heavy loads more easily.

In turn, Europeans returned home with many food crops that became central elements of their diet. Crops originating in the Americas included tomatoes, squash, pumpkins, peppers, and many varieties of beans, as well as tobacco. One of the most important of such crops was maize (corn). By the late seventeenth century maize had become a staple in Spain, Portugal, southern France, and Italy, and in the eighteenth century it became one of the chief foods of southeastern Europe and southern China. Even more valuable was the nutritious white potato, which slowly spread from west to east, contributing everywhere to a rise in population.

While the exchange of foods was a great benefit to cultures across the world, the introduction of European pathogens to the New World had a disastrous impact on the native population. In Europe infectious diseases like smallpox, measles, and influenza—originally spread through contact with domestic animals—killed many people each year. Over centuries of dealing with these diseases, the European population had had time to adapt. Prior to contact with Europeans, indigenous peoples of the New World suffered from insect-borne diseases and some infectious ones, but their lack of domestic livestock spared them the host of highly infectious diseases known in the Old World. The arrival of Europeans spread these microbes among a totally unprepared population, and they fell victim in vast numbers (see “Indigenous Population Loss and Economic Exploitation”). The world after Columbus was thus unified by disease as well as by trade and colonization.

Sugar and Early Transatlantic Slavery

Two crucial and interrelated elements of the Columbian exchange were the transatlantic trade in sugar and slaves. Throughout the Middle Ages, slavery was deeply entrenched in the Mediterranean, but it was not based on race. How, then, did black African slavery enter the European picture and take root in South and then North America? In 1453 the Ottoman capture of Constantinople halted the flow of European slaves from the eastern Mediterranean. Additionally, the successes of the Christian reconquest of the Iberian Peninsula drastically diminished the supply of Muslim captives. Cut off from its traditional sources of slaves, Mediterranean Europe turned to sub-Saharan Africa, which had a long history of slave trading.

As Portuguese explorers began their voyages along the western coast of Africa in the 1440s, one of the first commodities they sought was slaves. While the first slaves were simply seized by small raiding parties, Portuguese merchants soon found that it was easier and more profitable to trade with African leaders, who were accustomed to dealing in enslaved people captured through warfare with neighboring powers. In 1483 the Portuguese established an alliance with the kingdom of Kongo. The royal family eventually converted to Christianity, and Portuguese merchants intermarried with Kongoese women, creating a permanent Afro-Portuguese community. From 1490 to 1530 Portuguese traders brought between three hundred and two thousand enslaved Africans to Lisbon each year.

Columbian exchange The exchange of animals, plants, and diseases between the Old and the New Worlds.
In this stage of European expansion, the history of slavery became intertwined with the history of sugar. In the Middle Ages, sugarcane—native to the South Pacific—was brought to Mediterranean islands. Population increases and greater prosperity in the fifteenth century led to increasing demand for sugar. The establishment of sugar plantations on the Canary and Madeira Islands in the fifteenth century after Iberian colonization testifies to this demand.

Sugar was a particularly difficult crop to produce for profit, requiring constant, backbreaking labor. The invention of roller mills to crush the cane more efficiently meant that yields could be significantly augmented, but only if a sufficient labor force was found to supply the mills. Plantation owners solved the labor problem by forcing first native islanders and then transported Africans to perform the backbreaking work.

The transatlantic slave trade that would ultimately result in the forced transport of over 12 million individuals began in 1518, when Spanish king Charles I authorized traders to bring enslaved Africans to New World colonies. The Portuguese brought the first slaves to Brazil around 1550. After its founding in 1621, the Dutch West India Company transported thousands of Africans to Brazil and the Caribbean, mostly to work on sugar plantations. In the late seventeenth century, with the chartering of the Royal African Company, the English began to bring slaves to Barbados and other English colonies in the Caribbean and mainland North America.

Before 1700, when slavers decided it was better business to improve conditions, some 20 percent of slaves died on the voyage from Africa to the Americas. The most common cause of death was dysentery induced by poor-quality food and water, lack...
of sanitation, and intense crowding. On sugar plantations, death rates among enslaved people from illness and exhaustion were extremely high. Driven by rising demands for plantation crops, the tragic transatlantic slave trade reached its height in the eighteenth century.

**Spanish Silver and Its Economic Effects**

The sixteenth century has often been called Spain’s golden century, but silver mined in the Americas was the true source of Spain’s wealth. In 1545, at an altitude of fifteen thousand feet, the Spanish discovered an extraordinary source of silver at Potosí (poh-toh-SEE) (in present-day Bolivia) in unsettled territory captured from the Inca Empire. By 1550 Potosí yielded perhaps 60 percent of all the silver mined in the world. From Potosí and the mines at Zacatecas (za-kuh-TAY-kuhs) and Guanajuato (gwah-nah-HWAH-toh) in Mexico, huge quantities of precious metals poured forth.

Mining became the most important industry in the colonies. Millions of indigenous laborers suffered brutal conditions and death in the silver mines. Demand for new sources of labor for the mines also contributed to the intensification of the African slave trade. Profits for the Spanish crown were immense. The Crown claimed the quinto, one-fifth of all precious metals mined in South America, which represented 25 percent of its total income. Between 1503 and 1650, 35 million pounds of silver and over 600,000 pounds of gold entered Seville’s port.

Spain’s immense profits from silver paid for the tremendous expansion of its empire and for the large armies that defended it. However, the easy flow of money also dampened economic innovation. It exacerbated the rising inflation Spain was already experiencing in the mid-sixteenth century, a period of growing population and stagnant production. Several times between 1557 and 1647, King Philip II and his successors wrote off the state debt, thereby undermining confidence in the government and destroying the economy. When the profitability of the silver mines diminished in the 1640s, Spain’s power was fundamentally undercut.

As Philip II paid his armies and foreign debts with silver bullion, Spanish inflation was transmitted to the rest of Europe. Between 1560 and 1600 prices in most parts of Europe doubled and in some cases quadrupled. Because money bought less, people who lived on fixed incomes, such as nobles, were badly hurt. Those who owed fixed sums of money, such as the middle class, prospered because in a time of rising prices, debts lessened in value each year. Food costs rose most sharply, and the poor fared worst of all.

In many ways, though, it was not Spain but China that controlled the world trade in silver. The Chinese demanded silver for their products and for the payment of imperial taxes. China was thus the main buyer of world silver, absorbing half the world’s production. The silver market drove world trade, with New Spain and Japan acting as major sources of the supply of silver and China dominating demand. The world trade in silver is one of the best examples of the new global economy that emerged in this period.

**The Birth of the Global Economy**

With Europeans’ discovery of the Americas and their exploration of the Pacific, the entire world was linked for the first time in history by seaborne trade. The opening of that trade brought into being three successive commercial empires: the Portuguese, the Spanish, and the Dutch.

In the sixteenth century the Portuguese controlled the sea route to India (Map 16.3). From their bases at Goa on the Arabian Sea and at Malacca on the Malay Peninsula, ships carried goods to the Portuguese settlement at Macao. From Macao Portuguese ships loaded with Chinese silks and porcelains sailed to Japan and the Philippines, where Chinese goods were exchanged for Spanish silver from New Spain. Throughout Asia the Portuguese traded in slaves, some of whom were brought all the way across the Pacific to Mexico. (See “Individuals in Society: Catarina de San Juan,” page 460.) They also exported horses from Mesopotamia and copper from Arabia to India; from India they exported hawks and peacocks for the Chinese and Japanese markets. Back to Portugal they brought Asian spices that had been purchased with textiles produced in India and with gold and ivory from East Africa. From their colony in Brazil they also shipped back sugar, produced by African slaves whom they had transported across the Atlantic.

Becoming an imperial power a few decades later than the Portuguese, the Spanish were determined to claim their place in world trade. The Spanish Empire in the New World was basically land based, but across the Pacific the Spaniards built a seaborne empire centered at Manila in the Philippines. Established in 1571, the city of Manila served as the transpacific bridge between Spanish America and China. In Manila Spanish traders used silver from American mines to purchase Chinese America and China. In Manila Spanish traders used silver from American mines to purchase Chinese silk for European markets. The European demand for silk was so huge that in 1597, for example, 12 million pesos of silver, almost the total value of the transatlantic trade, moved from Acapulco in New Spain to Manila.

In the seventeenth century the Dutch challenged the Spanish and Portuguese Empires. The Dutch East India Company was founded in 1602 with the stated intention of capturing the spice trade from the Portuguese, drawing on their commercial wealth and long
Changing Attitudes and Beliefs

How did new encounters shape cultural attitudes and beliefs in Europe and the rest of the world?

The age of overseas expansion heightened Europeans' contacts with the rest of the world. These contacts gave birth to new ideas about the inherent superiority or inferiority of different races. Religion became another means of cultural contact, as European missionaries aimed to spread Christianity in both the New World and East Asia. The East-West contacts also led to exchanges of influential cultural and scientific ideas.

Religious Conversion

Converting indigenous people to Christianity was one of the most important justifications for European expansion. The first missionaries to the New World accompanied Columbus on his second voyage, and more than 2,500 Franciscans, Dominicans, Jesuits, and other friars crossed the Atlantic in the following years.

experience in European trade, the Dutch emerged by the end of the century as the most powerful worldwide seaborne trading power (see “The Dutch Trading Empire” in Chapter 18).
A LONG JOURNEY LED CATARINA DE SAN JUAN FROM enslavement in South Asia to adulation as a popular saint in Mexico. Her journey began on the west coast of India around 1610 when Portuguese traders captured a group of children, including the small girl who would become Catarina. Their ship continued around the southern tip of India, across the Bay of Bengal, through the Strait of Malacca, and across the South China Sea. It docked at Manila, a Spanish city in the Philippines, where the girl was sold at a slave auction.

In 1619 Catarina boarded a ship that was part of the Manila Galleon, the annual convoy of Spanish ships that crossed the Pacific between Manila and the Mexican port of Acapulco. After a six-month voyage, Catarina arrived in Acapulco; she then walked to Mexico City and continued on to the city of Puebla.

In Puebla, Catarina became the property of a Portuguese merchant and worked as a domestic servant. She was one of thousands of chinos, a term for natives of the East Indies who were brought via the Philippines to Spanish America. Many were slaves, transported as part of a transoceanic slave trade that reached from the Indian Ocean to the South China Sea and across the Pacific to the Atlantic world. They constituted a small but significant portion of people forcibly transported by Europeans to the Americas in the late sixteenth and early seventeenth centuries to replace dwindling numbers of indigenous laborers. Chinos were considered particularly apt for domestic labor, and many wealthy Spanish Americans bought them in Manila.

Before crossing the Pacific, Catarina converted to Catholicism and chose her Christian name. In Puebla her master encouraged Catarina’s faith and allowed her to attend mass every day. He also drafted a will emancipating her after his death, which occurred in 1619. With no money of her own, Catarina became the servant of a local priest. On his advice, Catarina reluctantly gave up her dream of becoming a lay sister and married a fellow chino named Domingo. The marriage was unhappy; Catarina reportedly refused to enter sexual relations with her husband and suffered from his debts, infidelity, and hostility to her faith. She found solace in renewed religious devotion, winning the admiration of priests and neighbors who flocked to her for spiritual comfort and to hear about her ecstatic visions. After fourteen years of marriage, Catarina became a widow and lived out her life in the home of wealthy supporters.

Catarina’s funeral in 1688 drew large crowds. Her followers revered her as an unofficial saint, and soon the leaders of Puebla began a campaign to have Catarina beatified (officially recognized by the Catholic church as a saint). Her former confessors published accounts of her life emphasizing her piety, beauty, and exotic Asian origins and marveling at the miraculous preservation of her virginity through the perils of enslavement, long journeys at sea, and marriage. Much of what we know about Catarina derives from these sources and must be viewed as idealized, rather than as strictly historically accurate.

The Spanish Inquisition, which oversaw the process of beatification, rejected Catarina’s candidacy and, fearing that popular adulation might detract from the authority of the church, forbade the circulation of images of and texts about her. Despite this ban, popular reverence for Catarina de San Juan continued, and continues to this day in Mexico.

QUESTIONS FOR ANALYSIS

1. Why would the Inquisition react so negatively to popular devotion to Catarina? What dangers did she pose to the Catholic Church in New Spain?
2. What does Catarina’s story reveal about the global nature of the Spanish Empire and the slave trade in this period? What does it reveal about divisions within the Catholic Church?

century. Jesuit missionaries were also active in Japan and China in the sixteenth and seventeenth centuries, until authorities banned their teachings.

Catholic friars were among the first Europeans to seek an understanding of native cultures and languages as part of their effort to render Christianity comprehensible to indigenous people. They were also the most vociferous opponents of abuses committed by Spanish settlers.

Religion had been a central element of pre-Columbian societies, and many, if not all, indigenous people were receptive to the new religion that accompanied the victorious Iberians. (See “Global Viewpoints: Aztec and Spanish Views on Christian Conversion in New Spain,” page 462.) In addition to spreading Christianity, missionaries taught indigenous peoples European methods of agriculture and instilled obedience to colonial masters. Despite the success of initial conversion efforts, authorities could not prevent the melding together of Catholic teachings with elements of pagan beliefs and practices.

**European Debates About Indigenous Peoples**

Iberian exploitation of the native population of the Americas began from the moment of Columbus’s arrival in 1492. Denunciations of this abuse by Catholic missionaries, however, quickly followed, inspiring vociferous debates in both Europe and the colonies about the nature of indigenous peoples and how they should be treated. Bartolomé de Las Casas (1474–1566), a Dominican friar and former encomienda holder, was one of the earliest and most outspoken critics of the brutal treatment inflicted on indigenous peoples.

Mounting criticism in Spain led King Charles I to assemble a group of churchmen and lawyers to debate the issue in 1550 in the city of Valladolid. One side of the Valladolid debate, led by Juan Ginés de Sepúlveda, argued that conquest and forcible conversion were both necessary and justified to save indigenous people from the horrors of human sacrifice, cannibalism, and idolatry. To counter these arguments, Las Casas and his supporters depicted indigenous people as rational and innocent children, who deserved protection and tutelage from more advanced civilizations.

Elsewhere in Europe, audiences also debated these questions. Eagerly reading denunciations of Spanish abuses by critics like Las Casas, they derived the Black Legend of Spanish colonialism, the notion that the Spanish were uniquely brutal and cruel in their conquest and settlement of the Americas. This legend helped other European powers overlook their own record of colonial violence and exploitation.

**New Ideas About Race**

At the beginning of the transatlantic slave trade, most Europeans grouped Africans into the despised categories of pagan heathens or Muslim infidels. As Europeans turned to Africa for new sources of slaves, they drew on myths about Africans’ primitiveness and barbarity to defend slavery. (See “Analyzing the Evidence: Mixed Races,” page 463.)

Over time, the institution of slavery fostered a new level of racial inequality. Africans gradually became seen as utterly distinct from and wholly inferior to Europeans. In a transition from rather vague assumptions about Africans’ non-Christian religious beliefs and general lack of civilization, Europeans developed increasingly rigid ideas of racial superiority and inferiority to safeguard the growing profits gained from plantation slavery. Black skin became equated with slavery itself as Europeans at home and in the colonies convinced themselves that blacks were destined by God to serve them as slaves in perpetuity.

Support for this belief went back to the Greek philosopher Aristotle’s argument that some people are naturally destined for slavery and to biblical associations between darkness and sin, derived from the biblical story of Noah’s curse upon the descendants of his disobedient son Ham to be the “servant[s] of servants.” Biblical genealogies listing Ham’s sons as those who peopled North Africa and Cush (which includes parts of modern Egypt and Sudan) were read to mean that all inhabitants of those regions bore Noah’s curse.

**Chapter Summary**

Prior to Columbus’s voyages, well-developed trade routes linked the peoples and products of Africa, Asia, and Europe. Overall, Europe played a minor role in the Afroeurasian trade world. As the economy and population recovered from the Black Death, Europeans began to seek more direct and profitable access to the Afroeurasian trade world. Technological innovations, many borrowed from the East, enabled explorers to undertake ever more ambitious voyages.

In the aftermath of their conquests of Caribbean islands and the Aztec and Inca Empires, the Spanish established new forms of governance to dominate indigenous peoples and exploit their labor. The arrival of Europeans brought enormous population losses to
GLOBAL VIEWPOINTS

Aztec and Spanish Views on Christian Conversion in New Spain

In justifying their violent conquest of the Aztec and Inca civilizations, Spanish conquistadors emphasized the need to bring Christianity to heathen peoples. For the conquered, the imposition of Christianity and the repression of their pre-existing religions were often experienced as yet another form of loss. The first document describes the response of the recently vanquished Aztec leaders of Tenochtitlan to Franciscan missionaries. Despite resistance, missionaries eventually succeeded in converting much of the indigenous population to Catholicism. In the second document, a firsthand account of the Spanish conquest written a few decades after the fall of Tenochtitlan, Bernal Díaz del Castillo expresses great satisfaction at the Catholic piety of some indigenous communities.

Aztec Response to the Franciscans’ Explanation of Their 1524 Mission

You have told us that we do not know the One who gives us life and being, who is Lord of the heavens and of the earth. You also say that those we worship are not gods. This way of speaking is entirely new to us, and very scandalous. We are frightened by this way of speaking because our forebears who engendered and governed us never said anything like this. On the contrary, they left us this our custom of worshiping our gods, in which they believed and which they worshiped all the time that they lived here on earth. They taught us how to honor them. And they taught us all the ceremonies and sacrifices that we make. They told us that through them [our gods] we live and are, and that we were beholden to them, to be theirs and to serve countless centuries before the sun began to shine and before there was daytime. They said that these gods that we worship give us everything we need for our physical existence: maize, beans, chia seeds, etc.

All of us together feel that it is enough to have lost, enough that the power and royal jurisdiction have been taken from us. As for our gods, we will die before giving up serving and worshiping them.

Bernal Díaz del Castillo, from The True History of the Conquest of New Spain, 1521

It is a thing to be grateful for to God, and for profound consideration, to see how the natives assist in celebrating a holy Mass. . . . There is another good thing they do [namely] that both men, women and children, who are of the age to learn them, know all the holy prayers in their own languages and are obliged to know them. They have other good customs about their holy Christianity, that when they pass near a sacred altar or Cross they bow their heads with humility, bend their knees, and say the prayer “Our Father,” which we Conquistadores have taught them, and they place lighted wax candles before the holy altars and crosses, for formerly they did not know how to use wax in making candles. In addition to what I have said, we taught them to show great reverence and obedience to all the monks and priests, and, when these went to their pueblos, to sally forth to receive them with lighted wax candles and to ring the bells, and to feed them very well, . . . Beside the good customs reported by me they have others both holy and good, for when the day of Corpus Christ comes, or that of Our Lady, or other solemn festivals when among us we form processions, most of the pueblos in the neighbourhood of this city of Guatemala come out in procession with their crosses and lighted wax tapers, and carry on their shoulders, on a litter, the image of the saint who is the patron of the pueblo.

QUESTIONS FOR ANALYSIS

1. What reasons do the leaders of Tenochtitlan offer for rejecting the teachings of Franciscan missionaries? What importance do they accord their own religious traditions?
2. What evidence does Diaz provide for the conversion of the indigenous people in the city of Guatemala?
3. How and why do you think the attitudes of indigenous peoples might have evolved from those expressed in the first document to those described in the second? Do you think the second document tells the whole story of religious attitudes under Spanish rule?

Mixed Races

When Spanish settlers came to the Americas, they brought with them the religious and racial prejudices of the old country. One of the most important of these was the doctrine of limpieza de sangre, or purity of blood. This principle was originally adopted during the reconquista to distinguish Spaniards whose ancestors had always been Christian and who were therefore not tainted (in Christians’ eyes) by the blood of Jewish or Muslim converts. After the conquest, the Spanish applied this notion in the Americas; because indigenous peoples had never been exposed to Christianity — the Spanish reasoned — they could not be considered impure like Jews and Muslims. Africans, however, fell into the same heretical category as Jews and Muslims. These distinctions help explain why the Spanish decided indigenous people could not be enslaved, while Africans and those of African descent could.

Despite these obsessions with racial purity, Spanish America from its first years was characterized by a high degree of racial mixing, which began as male conquistadors formed unions with women of high-ranking indigenous families. With the arrival of some thirty-five thousand enslaved Africans in Mexico during the sixteenth century, the mixing of peoples increased. Many of these unions were forced, with Spanish men seeking concubines among indigenous women and enslaved women of African descent. The fact that children inherited their legal status from their mothers encouraged enslaved men to seek wives among indigenous and mestiza (mixed) women. Defying neat boundaries that were supposed to exist between racial categories, the children born of these unions were viewed with suspicion by state and religious officials, and they suffered from a range of discriminatory laws.

An elaborate terminology emerged to describe the many possible combinations of indigenous, African, and European blood, which were known collectively as castas. Mestizos referred to people of mixed Spanish and Indian descent, whereas mulattos were those of Spanish and African origin. This painting belongs to a genre, known as casta paintings, that emerged in the late seventeenth century in Mexico and depicted couples composed of individuals of different ethnic origin and the children produced of their unions. This genre reflects the ambivalent fascination inspired by the unprecedented mixing of peoples in Spanish America.

QUESTIONS FOR ANALYSIS

1. What do these images suggest about the racial composition of the population of Spanish America and the interaction of people with different racial and ethnic backgrounds?
2. Who do you think the audience might have been for these images, and why would viewers be fascinated by them?
3. What elements of this chapter might suggest that these are romanticized or idealized depictions of relations among different racial and ethnic groups?
native communities, primarily through the spread of infectious diseases. Disease was one element of the Columbian exchange, a complex transfer of germs, plants, and animals between the Old and New Worlds. These exchanges contributed to the creation of the first truly global economy. Tragically, a major component of global trade was the transatlantic slave trade, in which Europeans transported Africans to labor in the sugar plantations and silver mines of the New World. European nations vied for supremacy in global trade, with early Portuguese success in India and Asia being challenged first by the Spanish and then by the Dutch.

Increased contact with the outside world led Europeans to develop new ideas about cultural and racial differences. Debates occurred in Spain and its colonies over the nature of the indigenous peoples of the Americas and how they should be treated. Europeans had long held negative attitudes about Africans; as the slave trade grew, they began to express more rigid notions of racial inequality and to claim that Africans were inherently suited for slavery. Religion became another means of cultural contact, as European missionaries aimed to spread Christianity in the New World.

NOTES
CHAPTER 16  Review and Explore

Identify Key Terms

Identify and explain the significance of each item below.

- bride wealth (p. 438)
- caravel (p. 442)
- Ptolemy’s Geography (p. 442)
- Treaty of Tordesillas (p. 448)
- conquistador (p. 450)
- Aztec Empire (p. 450)
- Inca Empire (p. 450)
- viceroyalties (p. 454)
- captaincies (p. 454)
- encomienda system (p. 454)
- Columbian exchange (p. 456)
- Valladolid debate (p. 461)
- Black Legend (p. 461)

Review the Main Ideas

Answer the focus questions from each section of the chapter.

1. What was the Afroeurasian trade world prior to the era of European exploration? (p. 438)
2. How and why did Europeans undertake ambitious voyages of expansion? (p. 441)
3. What was the impact of Iberian conquest and settlement on the peoples and ecologies of the Americas? (p. 449)
4. How was the era of global contact shaped by new commodities, commercial empires, and forced migrations? (p. 456)
5. How did new encounters shape cultural attitudes and beliefs in Europe and the rest of the world? (p. 459)

Make Comparisons and Connections

Analyze the larger developments and continuities within and across chapters.

1. If Europe was at the periphery of the global trading system prior to 1492, where was it situated by the middle of the sixteenth century? What had changed? What had not?
2. How does the spread of Christianity in the aftermath of European conquest in the New World compare with the earlier spread of Christianity under the Roman Empire (Chapter 6) and the spread of Buddhism (Chapter 7) and Islam (Chapters 9, 10, 12)?
3. How did European expansion in the period covered in this chapter draw on earlier patterns of trade and migration in Africa (Chapter 10) and Asia (Chapters 12, 13)?
4. To what extent did the European voyages of expansion and conquest inaugurate an era of global history? Did this era represent the birth of “globalization”? Why or why not?
TIMELINE

GLOBAL

1405–1433 Zheng He’s naval expeditions

1443 Portuguese establish first African trading post at Arguin

ASIA

1368–1644 Ming Dynasty in China (Ch. 21)

MIDDLE EAST

1453 Ottoman conquest of Constantinople (Ch. 17)

AFRICA

1400 1450

Suggested Resources

BOOKS

Crosby, Alfred W. *The Columbian Exchange: Biological and Cultural Consequences of 1492*, 30th anniversary ed. 2003. A lively and highly influential account of the environmental impact of the transatlantic movement of animals, plants, and microbes inaugurated by Columbus.


Parker, Charles H. *Global Interactions in the Early Modern Age, 1400–1800*. 2010. An examination of the rise of global connections in the early modern period, which situates the European experience in relation to the world’s other empires and peoples.


DOCUMENTARIES

*America Before Columbus* (National Geographic, 2010). Explores the complex societies and cultures of North America before contact with Europeans and the impact of the Columbian exchange.

*Conquistadors* (PBS, 2000). Traveling in the footsteps of the Spanish conquistadors, the narrator tells their story while following the paths and rivers they used. Includes discussion of the perspectives and participation of native peoples.
1421: The Year China Discovered America? (PBS, 2004). Investigates the voyages of legendary Chinese admiral Zheng He, exploring the possibility that he and his fleet reached the Americas decades before Columbus.

FEATURE FILMS AND TELEVISION DRAMAS


Marco Polo (Hallmark Channel, 2007). A made-for-television film that follows Italian merchant Marco Polo as he travels to China to establish trade ties with Mongol emperor Khubilai Khan.

The Other Conquest (Salvador Carrasco, 1998). A Mexican film depicting the brutality of the Spanish conquest and its social and religious impact, seen from the perspective of a young Aztec man.

WEBSITES

Discovery and Exploration Collection. A Library of Congress digital collection of maps and manuscripts related to exploration and discovery, many of which relate to the period covered in this chapter.

https://www.loc.gov/collections/discovery-and-exploration/

Explore Mesolore. A website featuring resources on Mesoamerica, including indigenous documents from the sixteenth century, with helpful explanations of the origins and meaning of the documents.

www.mesolore.org

The Globalization of Food and Plants. Hosted by the Yale University Center for the Study of Globalization, this website provides information on how various foods and plants — such as spices, coffee, and tomatoes—traveled the world in the Columbian exchange.

yaleglobal.yale.edu/about/food.jsp